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PTO/SB/01a (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/046,924 filed on January 14, 2002,
☐ as amended on (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application, if applicable; and.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)Inventor one: CASES, SYLVAINSignature: *Sylvain Cases*Citizen of: FRANCEInventor two: STONE, SCOT J.Signature: *Scot Stone*Citizen of: CANADAInventor three: ZHOU, PINGSignature: *Ping Zhou*Citizen of: CHINA☒ Additional inventors are being named on 1 additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Inventor three: FARESE, ROBERT V., JR.

Signature: *R. Fares* 12/2/02 Citizen of: USA

Inventor three: YEN, CHI-LIANG ERIC

Signature: *Eric Yen* Citizen of: USA

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Inventor three: FARESE, ROBERT V., JR.

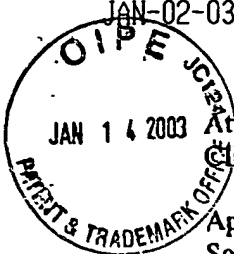
Signature: _____ Citizen of: USA

Inventor three: YEN, CHI-LIANG ERIC

Signature: *Eric Yen* Citizen of: USA *Taiwan R.T.*

Doc 6.2002

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney Docket No. UCAL-240CIP
Client Reference No. 2001-303-3

Applicant: CASES, SYLVAINIE et al.
Serial No. 10/046,924
Filed: January 14, 2002
For: "MONO- AND DIACYLGLYCEROL ACYLTRANSFERASES AND
METHODS OF USE THEREOF"
Group: 1645
Examiner:

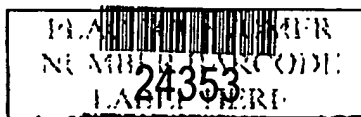
**POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. § 3.71**

Dear Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints practitioners related to customer number:

☒

24353



as its attorney(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

An assignment of the entire interest in the above-identified subject application:

☐

was recorded on at reel/frame /.

☒

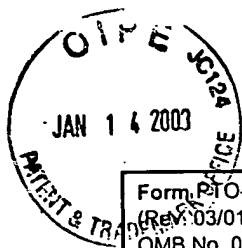
is submitted herewith for recording.

Please direct all telephone calls to Paula A. Borden at (650) 833-7710 and all correspondence relative to said application to the following address:

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, California 94025

Dated: January 3, 2003
ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Signature: Linda Stevenson
Typed Name: Linda Stevenson
Title: Patent Prosecution Manager

Office of Technology Transfer
1111 Franklin Street, 12th Floor
Oakland, California 94607-5200



Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Atty Docket No.: UCAL-240CIP

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CASES, SYLVAINÉ
STONE, SCOT J.
ZHOU, PING
FARESE, ROBERT V., JR.
YEN, CHI-LIANG ERICAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 2, 2002 and December 3, 2002.

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: _____

Street Address: 1111 Franklin Street, 12th StreetCity: Oakland State: CA Zip: 94607-5200☐ OtherAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/046,924, January 14, 2002

B. Patent Registration No.(s)

Additional number(s) attached ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bozicevic, Field & Francis LLPInternal Address: 200 Middlefield Road, Suite 200City: Menlo Park State: California Zip: 940256. Total number of applications and patents involved 17. Total fee (37 CFR 3.41)..... \$40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0815

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paula A. Borden, Reg. No. 42,344

Name of Person Signing

Signature

01/08/2003

Date

Total number of pages including cover sheet, attachments, and document: 3Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

U.C. Case No. 2001-303-3

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | | | |
|----|---------------------|----|------------------------|
| 1. | CASES, SYLVAINÉ | 2. | STONE, SCOT J. |
| 3. | ZHOU, PING | 4. | FARESE, ROBERT V., JR. |
| 5. | YEN, CHI-LIANG ERIC | | |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"MONO- AND DIACYLGLYCEROL ACYLTRANSFERASES AND METHODS OF USE THEREOF"

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/046,924, filed on January 14, 2002
- (d) _____ U.S. Patent No.: issued

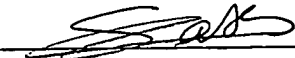
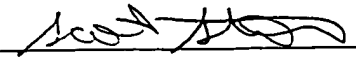
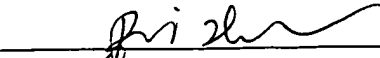
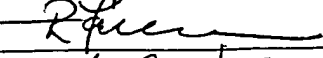

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property.

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this Signature of Inventor(s)

<u>2-d</u>	day of <u>December</u> , 2002		Sylvaine Cases
<u>3rd</u>	day of <u>December</u> , 2002		Scot J. Stone
<u>2-d</u>	day of <u>December</u> , 2002		Ping Zhou
<u>2</u>	day of <u>December</u> , 2002		Robert V. Farese, Jr.
<u>2nd</u>	day of <u>December</u> , 2002		Chi-Liang Eric Yen